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PATENT

## THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant:** Cadoux, Robert ) **Examiner:** Milef, Elda G.  
Serial No.: 09/491,388 ) **Art Unit:** 3628  
**Filing Date:** Jan. 26, 2000 ) **Attorney Docket No.** 99629

**Title:** A SERIALLY STAGED, INITIAL PUBLIC STOCK OFFERING METHOD

**AMENDMENT**

Kirkpatrick & Lockhart Preston Gates Ellis LLP  
Pittsburgh, PA 15222  
June 5, 2007

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the decision by the Board of Patent Appeals and Interferences, issued May 1, 2007, for the above-identified application, Applicant respectfully requests entry of the following amendments and remarks prior to examination, wherein

**Amendments to the Claims** begin on page 2; and

**Remarks** begin on page 6.

**EXPRESS MAIL CERTIFICATE**

"Express Mail" mailing label number ER524403025US

Date of Deposit June 5, 2007

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop Amendment, Commissioner of Patents, Box 1450, Alexandria, VA 22313-1450